Exam. Code : 105406 Subject Code : 1497

BBA Semester—VI

FUNDAMENTALS OF CAPITAL MARKETS

Paper-BBA-604

Time Allowed—3 Hours] [Maximum Marks—50

Instructions for the Candidates :

- Attempt any 10 questions from Section A. Each question carries 1 mark. Answer to each question should be in upto five lines in length.
- Attempt any 2 questions each from Section B and Section C. Each question carries 10 marks. Answer to each question should not exceed five pages in length.

SECTION-A

- 1. Write notes on the following :
 - (i) Market Lot
 - (ii) Lead Manager
 - (iii) Put Option
 - (iv) Book Building
 - (v) Non-participating preference shares
 - (vi) Index Funds
 - (vii) Spot Market

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(Contd.)

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- (viii) Sensex
- (ix) SEBI
- (x) Insider Trading
- (xi) NASDAQ
- (xii) Bonds.

SECTION-B

- 2. What is an option contract ? How does index option differ from stock options ?
- 3. List out the role of participants in capital market.
- 4. Briefly explain the structure of Indian equity market with the help of a diagram.
- 5. Discuss the various kinds of Bonds along with their features.

SECTION-C

- 6. Briefly explain the functions of SEBI. In your opinion, are these functions sufficient to regulate the capital market in India ?
- 7. What are the uses of market indices ? Discuss in detail the various factors which are considered while constructing market indices.
- Explain briefly the functions of the stock market in India. Critically evaluate the role of SEBI as stock market developer.
- Discuss the objectives and advantages of listing of securities on the stock market.

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